



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re Application**

**Serial No.** 10/829,534  
**Applicant:** Jeff SHEPPARD  
**Group Art Unit:** 3634  
**Filing Date:** April 22, 2004  
**Title:** TWO-PIECE MOUNTING BRACKET FOR HEAT EXCHANGER  
**Attorney Docket No.:** 60,680-801

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

In connection with the U.S. patent application identified above, applicant wishes to draw to the examiner's attention the following art, but no representation is made that a comprehensive search has been made or that no better art exists.

Enclosed herewith is a List of References Cited by Applicant (PTO-1449) (two sheets). It is requested that each of these items be considered, made of record in the prosecution history of the application, and appear among the references cited on any patent to issue from the application. Copies of all Non-U.S. patent documents listed on the enclosed form are enclosed.

For those references listed on attached Form PTO-1449 that are in English, no further commentary by Applicant is believed necessary, 37 C.F.R. §1.98(a)(3). For those references listed on attached Form PTO 1449 that are in a language other than English (namely French patent publication Nos. 2,527,325; 2,550,618, 2,748,559 and German patent publication No. 3215 961), Applicant has attached Derwent English language abstracts for such publications.

Applicant notes that Japanese Patent No. 3-164694 also listed on Form PTO-1449 is not in the English Language and therefore the Patent Abstracts of Japan in English for this patent is also enclosed.

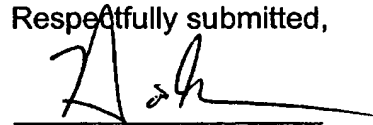
Applicant believes that this Information Disclosure Statement is being submitted prior to the mailing date of the first Office Action in the above-identified matter, in accordance with 37 C.F.R. § 1.97 (b)(3). However, in the event that the first Office Action has already been mailed and Applicant has not yet received the Office Action, Applicant petitions for consideration of this Information Disclosure Statement and authorizes the Assistant Commissioner to deduct the fee of \$180.00 required under 37 C.F.R. § 1.97

(c)(2) and 37 C.F.R. § 1.17 (p) from Deposit Account No. 13-2400. The Assistant Commissioner is further authorized to deduct any additional fees required in connection with this Information Disclosure Statement from Deposit Account No. 13-2400 and to credit any overpayment to Deposit Account No. 13-2400.

EXECUTED at Toronto, Ontario, Canada, this 13<sup>th</sup> day of August 2004.

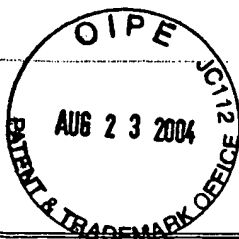
Respectfully submitted,

By:



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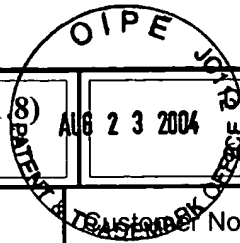
Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE				Attorney Docket No. 60,680-801		Serial No. 10/829,534	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets if Necessary)				Applicant: Jeff Sheppard			
				Filing Date April 22, 2004		Group Art Unit: 3634	
U.S. PATENT DOCUMENTS							
Examiner Initial	Document Number	Date (MM/DD/YY)	Name	Class	Subclass	Filing Date if Appropriate (MM/DD/YY)	
	1,246,325	11/13/1917	Rohmer				
	4,258,785	03/31/1981	Beldam				
	4,569,390	02/11/1986	Knowlton <i>et al</i>				
	5,058,662	10/21/1991	Nguyen				
	5,069,275	12/03/1991	Suzuki <i>et al</i>				
	5,086,832	02/11/1992	Kadle <i>et al</i>				
	5,107,926	04/28/1992	Calleson				
	5,183,103	02/02/1993	Tokutake				
	5,205,349	04/27/1993	Nagao <i>et al</i>				
	5,407,161	04/18/1995	Mulkeran				
	5,429,182	07/04/1995	Hanafusa				
	5,487,422	01/30/1996	Bertva <i>et al</i>				
	5,535,819	07/16/1996	Matsuura				
	5,570,737	11/05/1996	Tokutake				
	5,692,559	12/02/1997	Cheong				
	5,720,341	02/24/1998	Watanabe <i>et al</i>				
	5,791,402	08/11/1998	Dumetz				
	5,899,263	05/04/1999	Tokutake				
	5,947,196	09/07/1999	Halm <i>et al</i>				
	5,964,282	10/12/1999	Seiler <i>et al</i>				
	6,263,954	07/24/2001	Nakayama				
	6,405,788	06/18/2002	Balthazard				
	6,530,424	03/11/2003	Jamison <i>et al</i>				
	5,742,572	06/01/2004	Muhammad <i>et al</i>				

## FOR EIGN PATENT DOCUMENTS

						Translation	
Examiner Initial	Document Number	Date	Country	Class	Sub-Class	Yes	No
	2,527,325	11/25/1983	France				
	2,550,618	02/15/1985	France				
	2,748,559	11/14/1997	France				
	32,15 961	11/03/1983	Germany				
	3-164694	07/16/1991	Japan				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED	
<p>* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>		

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **SHEPPARD**

AUG 23 2004

Socket No.

50,680-801

Application No.

**10/829,534**

Filing Date

**04/22/2004**

Examiner

**UNKNOWN**

Customer No.

Group Art Unit

**3634**Invention: **TWO-PIECE MOUNTING BRACKET FOR HEAT EXCHANGER**I hereby certify that this **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

**08/20/2004***(Date)***ALESIA A. MUNGONS***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **SHEPPARD**

AUG 23 2004

Docket No.

80,680-801

Application No.

10/829,534

Filing Date

04/22/2004

Examiner

UNKNOWN

Customs No.

Group Art Unit

3634

Invention: **TWO-PIECE MOUNTING BRACKET FOR HEAT EXCHANGER**I hereby certify that this **FORM PTO-1449 and FIVE (5) PATENT REFERENCES**

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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**ALESIA A. MUNGONS**

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